

**Practitioner's Docket No.** 514-039-11

## PATENT

- ☐ Applicant  
☐ Application No.  
☐ Filed on

**TOOL BOX**

- ☒ Patentee Yong L. Wei  
☒ Patent No. 5,893,457  
☒ Issued on April 13, 2001

**Title:**

**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(n) and 1.27(c))—SMALL BUSINESS CONCERN**

I hereby state that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

concern identified below:

Name of Small Business Concern Maxtech Manufacturing, Inc.

Address of Small Business Concern 620 McMurray Road  
Waterloo, Ontario, Canada N2V 2E7

I hereby state that the above identified small business concern qualifies as a small business concern, as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office under Sections 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to, and remain with, the small business concern identified above, with regard to the invention described in

- ☐ the specification filed herewith, with title as listed above.
- ☐ the application identified above.
- ☒ the patent identified above.

☒ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c). If that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\* List each named person, concern or organization having rights to

\*NOTE: Separate statements are required from each named person, concern or organization having rights to the invention as to their status as small entities. (37 CFR 1.27)

(Small Entity-Small Business [7-4]-page 1 of 2)

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Each such person, concern or organization having any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.  
☐ Each such person, concern or organization is listed below.

Name \_\_\_\_\_

Address \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

Name \_\_\_\_\_

Address \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b))

(check the following item, if desired)

**NOTE:** The following verification statement need not be made in accordance with the rules published on Oct. 10, 1997, 62 Fed. Reg. 52131, effective Dec. 1, 1997.

**NOTE:** The presentation to the Office (whether by signing, filing, submitting, or later advocating) of any paper by a party, whether a practitioner or non-practitioner, constitutes a certification under § 10.18(b) of this chapter. Violations of § 10.18(b)(2) of this chapter by a party, whether a practitioner or non-practitioner, may result in the imposition of sanctions under § 10.18(c) of this chapter. Any practitioner violating § 10.18(b) may also be subject to disciplinary action. See §§ 10.18(d) and 10.23(c)(15). 37 C.F.R. § 1.4(d)(2).

☐ I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing K. C. Vasudeva

Title of Person if Other Than Owner Chairman and CEO

Address of Person Signing 620 McMurray Road  
Waterloo, Ontario, Canada N2V 2E7

SIGNATURE K. C. Vasudeva

Date April 11/01

(Small Entity—Small Business [7-4]—page 2 of 2)

PTO/SB/51 (02-01)

Approved for use through 01/31/2004, OMB 0851-0033

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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## REISSUE APPLICATION DECLARATION BY THE INVENTOR

Docket Number (Optional)  
514-039-11

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is described and claimed

in patent number 5,893,457, granted April 13, 2001, and for which a  
reissue patent is sought on the invention entitled TOOL BOX

the specification of which

☒ Is attached hereto.☐ was filed on \_\_\_\_\_ as reissue application number \_\_\_\_\_  
and was amended on \_\_\_\_\_

(If applicable)

I have reviewed and understand the contents of the above identified specification, including the claims,  
as amended by any amendment referred to aboveI acknowledge the duty to disclose information which is material to patentability as defined in  
37 CFR 1.56.I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described  
below. (Check all boxes that apply.)☒ by reason of a defective specification or drawing.☒ by reason of the patentee claiming more or less than he had the right to claim in the patent.☐ by reason of other errors.At least one error upon which reissue is based is described below. If the reissue is a broadening  
reissue, such must be stated with an explanation as to the nature of the broadening:The specification includes erroneous reference numbers; namely, at col. 2,  
line 9, "13" should be "100" and at col 2, line 48, "15" should be "16".This is a broadening reissue. Claim 1 has the element "two sliding plates"  
and one sliding plate provides an operable tool box according to my invention.  
New claim 9 requires only at least one sliding plate.

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20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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## (REISSUE APPLICATION DECLARATION BY THE INVENTOR, page 2)

Socket Number (Optional)  
 514-039-11

All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant. As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith.

Name(s)

Registration Number

Correspondence Address. Direct all communications about the application to.

☒ Customer Number

004953

Type Customer Number here

Place Customer Number Bar  
 Code label here

I hereby authorize the U.S. attorneys appointed above to accept and follow instructions from Armstrong & Associates, 285 Fountain Street South, Cambridge, Ontario, Canada N3H 1J2, as to any actions to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the U.S. attorneys will be so notified by the undersigned.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

Full name of sole or first inventor (given name, family name)  
 Yong Lung Wei

Inventor's signature

Date

Residence Taichung, Taiwan

Citizenship Republic of China

Mailing Address 2F, 903, Shiang Sin South Road, Taichung, Taiwan

Full name of second joint inventor (given name, family name)

Inventor's signature

Date

Residence

Citizenship

Mailing Address

Full name of third joint inventor (given name, family name)

Inventor's signature

Date

Residence

Citizenship


Mailing Address

☐ Additional joint inventors are named on separately numbered sheets attached hereto.

PTO/SB/53 (10-00)

Approved for use through 12/30/2005. OMB 0651-0033  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>REISSUE APPLICATION: CONSENT OF ASSIGNEE; STATEMENT OF NON-ASSIGNMENT</b>		<b>Docket Number (Optional)</b> 514-039-11
This is part of the application for a reissue patent based on the original patent identified below.		
Name of Patentee(s) Yong Lung Wei		
Patent Number 5,893,457	Date Patent Issued April 13, 2001	
Title of Invention TOOL BOX		
<p>1. <input checked="" type="checkbox"/> Filed herein is a statement under 37 CFR 3.73(b). (Form PTO/SB/96)</p> <p>2. <input type="checkbox"/> Ownership of the patent is in the inventor(s), and no assignment of the patent is in effect.</p> <p>One of boxes 1 or 2 above must be checked. If multiple assignees, complete this form for each assignee. If box 2 is checked, skip the next entry and go directly to "Name of Assignee". The written consent of all assignees and inventors owning an undivided interest in the original patent is included in this application for reissue.</p>		
The assignee(s) owning an undivided interest in said original patent is/are <u>Maxtech Manufacturing, Inc.</u> and the assignee(s) consents to the accompanying application for reissue.		
Name of assignee/inventor (if not assigned) MAXTECH MANUFACTURING, INC.		
Signature 	Date April 11, 2001	
Typed or printed name and title of person signing for assignee (if assigned) K. C. Vasudeva Chairman and CEO		

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